

Invest AD Brookfield Managers Limited

Firm Details

Firm Name	Invest AD Brookfield Managers Limited
FSP Number	170035
FSP Date	26 March 2018
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, 3506, 35, Al Maqam Tower, Adgm Square
Email	enquiries@investad-brookfield.com
Phone	+971 24187654
Legal Status	Private Company Limited By Shares
Status	Withdrawn
Withdrawn Date	29 April 2021

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Managing a Collective Investment Fund	Units in a Collective Investment Fund	26 Mar 2018	29 Apr 2021

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all

Regulated Activities, unless specified otherwise:

- Invest AD Brookfield Managers Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- Invest AD Brookfield Managers Limited is not permitted to hold or control Client Assets

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Carlos Roberto Sagra Modiano	00146	Senior Manager	Withdrawn	26 Mar 2018	29 Apr 2021
Saoud Essa Abdulqader Mohamed Almula	00143	Licensed Director	Withdrawn	26 Mar 2018	29 Apr 2021
Kevin O'Donnell Mc Crain	00141	Licensed Director	Withdrawn	26 Mar 2018	29 Apr 2021
Matthew Thomas Palmer Shaw	00140	Senior Executive Officer(SEO)	Withdrawn	26 Mar 2018	29 Apr 2021
Anuj Ranjan	00139	Licensed Director	Withdrawn	26 Mar 2018	29 Apr 2021
Mouza Al Hammadi	00147	Senior Manager	Withdrawn	26 Mar 2018	29 Apr 2021
Faras Abdulkareem Ismail Hasan Alramahi	00142	Licensed Director	Withdrawn	26 Mar 2018	29 Apr 2021

Ashwath Vikram	00145	Finance Officer	Withdrawn	26 Mar 2018	29 Apr 2021
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