

# Iceberg Capital Limited

## Firm Details

<b>Firm Name</b>	Iceberg Capital Limited
<b>FSP Number</b>	210057
<b>FSP Date</b>	07 April 2022
<b>Firm Type</b>	Financial Firms
<b>Address</b>	United Arab Emirates, Abu Dhabi, Maryah Island, 2435, Level 24, Al Sila Tower, Regus ADGM Square, Abu Dhabi Global Market Square
<b>Email</b>	<a href="mailto:info@icebergcapital.ae">info@icebergcapital.ae</a>
<b>Phone</b>	+971 2 632 3388
<b>Legal Status</b>	Private Company Limited By Shares
<b>Status</b>	Active

## Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Credit	Credit Agreement	07 Apr 2022	
Managing a Collective Investment Fund	Units in a Collective Investment Fund	07 Apr 2022	
Advising on Investments or Credit		07 Apr 2022	

Managing Assets		07 Apr 2022	
Arranging Deals in Investments		07 Apr 2022	

## Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Iceberg Capital Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- Iceberg Capital Limited is not permitted to hold or control Client Assets

## Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
AlHussein Hossam Gamaleldin Tantawi	01034	Licensed Director and SEO	Withdrawn	07 Apr 2022	30 Apr 2024
Mohammed Isahaq Aslam	00637	Compliance Officer and MLRO	Withdrawn	07 Apr 2022	15 Nov 2024
Mustafa Ghazi Kheriba	00012	Licensed Director	Active	07 Apr 2022	
Mustafa Ghazi Kheriba	00012	Senior Executive Officer(SEO)	Withdrawn	07 Apr 2022	05 Apr 2023
Prajesh Panikar	01332	Finance Officer	Withdrawn	06 Mar 2023	15 Nov 2024
Salman	02088	Compliance	Withdrawn	27 Dec 2024	19 Dec 2025

Rafique		Officer			
Salman Rafique	02088	MLRO	Withdrawn	27 Dec 2024	19 Dec 2025

## Regulatory Actions

Date	Title	Category	PDF Link
31 Dec 2024	ADGM FSRA imposes financial penalty for contraventions of Common Reporting Standard Regulations and the Foreign Account Tax Compliance Regulations requirements	CRS / FATCA Penalty Notice	<a href="#">↓</a>