

Iceberg Capital Limited

Firm Details

Firm Name	Iceberg Capital Limited
FSP Number	210057
FSP Date	07 April 2022
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, 2435, Level 24, Al Sila Tower, Regus ADGM Square, Abu Dhabi Global Market Square
Email	info@icebergcapital.ae
Phone	+971 2 632 3388
Legal Status	Private Company Limited By Shares
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Credit	Credit Agreement	07 Apr 2022	
Managing a Collective Investment Fund	Units in a Collective Investment Fund	07 Apr 2022	
Advising on Investments or Credit		07 Apr 2022	

Managing Assets		07 Apr 2022	
Arranging Deals in Investments		07 Apr 2022	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:


- Iceberg Capital Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- Iceberg Capital Limited is not permitted to hold or control Client Assets

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
AlHussein Hossam Gamaleldin Tantawi	01034	Licensed Director and SEO	Withdrawn	07 Apr 2022	30 Apr 2024
Mohammed Isahaq Aslam	00637	Compliance Officer and MLRO	Withdrawn	07 Apr 2022	15 Nov 2024
Mustafa Ghazi Kheriba	00012	Licensed Director	Active	07 Apr 2022	
Mustafa Ghazi Kheriba	00012	Senior Executive Officer(SEO)	Withdrawn	07 Apr 2022	05 Apr 2023
Prajesh Panikar	01332	Finance Officer	Withdrawn	06 Mar 2023	15 Nov 2024
Salman	02088	Compliance	Active	27 Dec 2024	

Rafique		Officer			
Salman Rafique	02088	MLRO	Active	27 Dec 2024	

Regulatory Actions

Date	Title	Category	PDF Link
31 Dec 2024	ADGM FSRA imposes financial penalty for contraventions of Common Reporting Standard Regulations and the Foreign Account Tax Compliance Regulations requirements	CRS / FATCA Penalty Notice	<div>  </div>