

Frontera Capital Group Limited

Firm Details

Firm Name	Frontera Capital Group Limited
FSP Number	160011
FSP Date	05 December 2016
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, Office 4, Floor 7, Al Maqam Tower, Adgm Square
Email	vicente.pons@fronteracapitalgroup.com
Phone	+971 24187638
Legal Status	Private Company Limited By Shares
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Dealing in Investments as Agent		06 Sep 2022	
Dealing in Investments as Principal (Matched)		06 Sep 2022	
Managing a	Units in a Collective Investment	11 Sep 2019	

Collective Investment Fund	Fund		
Managing Assets		11 Sep 2019	
Advising on Investments or Credit		12 May 2016	
Arranging Credit	Credit Agreement	12 May 2016	
Arranging Deals in Investments		12 May 2016	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Frontera Capital Group Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- Frontera Capital Group Limited is not permitted to operate Public Funds, as defined in the Fund Rules (FUNDS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Gordon Peter Smith	00032	Licensed Director	Active	05 Dec 2017	
Vicente Pons Sanz	00031	Licensed Director	Active	05 Dec 2017	
Vicente Pons Sanz	00031	Senior Executive Officer(SEO)	Withdrawn	05 Dec 2017	13 Mar 2026

Theo Lucas van Houten	00035	Compliance Officer and MLRO	Withdrawn	13 Feb 2019	20 Dec 2024
Abdellah Anice El Amrani	00472	Licensed Director	Active	04 Nov 2020	
Jonathan Adrian David Lester	00719	Licensed Director	Withdrawn	14 Aug 2021	28 Mar 2025
Trad Russell Cruickshank	00717	Finance Officer	Withdrawn	22 Aug 2021	31 Dec 2023
Jawad Muhammad	00992	Finance Officer	Active	01 Jan 2024	
Simon Paul Crawley	02541	MLRO	Active	20 Dec 2024	
Simon Paul Crawley	02541	Compliance Officer	Active	20 Dec 2024	
Warren Luyt	02843	Licensed Director	Active	01 May 2025	
Maxime Bloquert	03261	Licensed Director	Active	23 Oct 2025	
Abdellah Anice El Amrani	00472	Licensed Director and SEO	Active	13 Mar 2026	