

Eshara Capital Limited

Firm Details

Firm Name	Eshara Capital Limited
FSP Number	160003
FSP Date	12 May 2016
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, Office 5, Level 6, Al Sila Tower, Abu Dhabi Global Market Square
Email	adg@esharacapital.com
Phone	+971 2 309 7302
Legal Status	Private Company Limited By Shares
Status	Withdrawn
Withdrawn Date	15 January 2019

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Managing a Collective Investment Fund	Units in a Collective Investment Fund	12 May 2016	15 Jan 2019

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all

Regulated Activities, unless specified otherwise:

- Eshara Capital Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- Eshara Capital Limited is not permitted to hold Client Assets
- Eshara Capital Limited is not permitted to operate Public Funds, as defined in the Fund Rules (FUNDS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Paul Meyrick Guy	03216	Licensed Director	Withdrawn	12 May 2016	15 Jan 2019
Alexander David Guy	03215	Licensed Director	Withdrawn	12 May 2016	15 Jan 2019
Alexander David Guy	03215	Senior Executive Officer(SEO)	Withdrawn	12 May 2016	15 Jan 2019
Mohamed Abdulla Abduljalil Mohamed AlFaheem	03214	Licensed Director	Withdrawn	12 May 2016	15 Jan 2019

Regulatory Actions

Date	Title	Category	PDF Link
14 Apr 2019	ADGM imposes financial penalties for breaching financial and regulatory reporting requirements	Final Notice	