

Delta Wealth Partners Limited

Firm Details

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| Firm Name | Delta Wealth Partners Limited |
| FSP Number | 220114 |
| FSP Date | 23 October 2023 |
| Firm Type | Financial Firms |
| Address | United Arab Emirates, Abu Dhabi, Maryah Island, Cloud Bubble 11, 15th Floor, Al Sarab Tower, Adgm Square |
| Legal Status | Private Company Limited By Shares |
| Status | Active |

Regulated Activities

| Type | Asset Type | Effective Date | Withdrawn Date |
|---------------------------------------|---------------------------------------|----------------|----------------|
| Managing a Collective Investment Fund | Units in a Collective Investment Fund | 23 Oct 2023 | |

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Delta Wealth Partners Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- Delta Wealth Partners Limited is not permitted to hold or control Client Assets
- Managing a Collective Investment Fund is limited to managing only Venture Capital Funds, as

defined in the Fund Rules (FUNDS)

Approved Persons

| Name | Person Reference Number | Role | Status | Effective Start Date | Withdrawn date |
|-------------------------|-------------------------|-------------------------------|-----------|----------------------|----------------|
| Kin San Lo | 01509 | Licensed Director | Withdrawn | 23 Oct 2023 | 20 Mar 2025 |
| Carla Maria Tabet Fares | 01508 | Licensed Director | Withdrawn | 23 Oct 2023 | 15 Aug 2024 |
| Abdalla Mohamed Kablan | 01507 | Licensed Director | Active | 23 Oct 2023 | |
| Abdalla Mohamed Kablan | 01507 | Senior Executive Officer(SEO) | Active | 23 Oct 2023 | |
| John Joseph Kartonchik | 01042 | MLRO | Withdrawn | 23 Oct 2023 | 01 Aug 2025 |
| John Joseph Kartonchik | 01042 | Compliance Officer | Withdrawn | 23 Oct 2023 | 01 Aug 2025 |
| Hosam Herzallah | 02718 | Licensed Director | Active | 11 Mar 2025 | |
| Mukhtar Ahmed Raja | 03102 | Compliance Officer | Active | 25 Aug 2025 | |
| Mukhtar Ahmed Raja | 03102 | MLRO | Active | 25 Aug 2025 | |