

Carta Middle East Limited

Firm Details

Firm Name	Carta Middle East Limited
FSP Number	240098
FSP Date	06 May 2025
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, DD-16-109-029, 16, Al Khatem Tower, WeWork Hub71, Abu Dhabi Global Market Square
Legal Status	ADGM Company (a private company limited by shares)
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Acting as the Administrator of a Collective Investment Fund	Units in a Collective Investment Fund	06 May 2025	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- CARTA MIDDLE EAST LIMITED is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- CARTA MIDDLE EAST LIMITED is not permitted to hold or control Client Assets

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Niall Cleere	02402	Compliance Officer and MLRO	Active	06 May 2025	
Niall Cleere	02402	Senior Manager	Active	06 May 2025	
Gregory Carter	02401	Senior Executive Officer(SEO)	Active	06 May 2025	
Gregory Carter	02401	Licensed Director	Active	06 May 2025	
Josephine Kiang	02400	Senior Manager	Active	06 May 2025	
Charly Kevers	02399	Licensed Director	Active	06 May 2025	
Henry Ward	02398	Licensed Director	Active	06 May 2025	
Bhavik Vashi	02397	Licensed Director	Active	06 May 2025	
Emma Roberts	02396	Senior Manager	Active	06 May 2025	
Emma Roberts	02396	Finance Officer	Active	06 May 2025	