

# Capital Union Bank (Middle East) Limited

## Firm Details

Firm Name	Capital Union Bank (Middle East) Limited
FSP Number	240059
FSP Date	24 July 2024
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Reem Island, C1, 22, Tamouh Tower, Tamouh
Legal Status	Private Company Limited By Shares
Status	Active

## Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Custody		24 Jul 2024	
Dealing in Investments as Principal (Matched)		24 Jul 2024	
Managing Assets		24 Jul 2024	
Dealing in Investments as Agent		24 Jul 2024	
Providing Credit	Credit Agreement	24 Jul 2024	

Arranging Deals in Investments		24 Jul 2024	
Accepting Deposits	Deposits	24 Jul 2024	
Providing Custody		24 Jul 2024	
Advising on Investments or Credit		24 Jul 2024	
Arranging Credit	Credit Agreement	24 Jul 2024	

## Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Capital Union Bank (Middle East) Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

## Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Omar Soliman	02236	Senior Manager	Active	23 Jul 2024	
Lawrence Damon Howell	02232	Licensed Director	Active	23 Jul 2024	
Clement Ducasse	02231	Licensed Director	Active	23 Jul 2024	
Rashmi Gokhale	02235	Senior Manager	Active	24 Jul 2024	

Rashmi Gokhale	02235	Finance Officer	Active	24 Jul 2024	
Aleksey Ovinnikov	02234	Senior Manager	Active	24 Jul 2024	
Mauro Palombo	02233	Licensed Director	Active	24 Jul 2024	
Peter Andreas Albinsson	02230	Senior Executive Officer(SEO)	Withdrawn	24 Jul 2024	23 May 2025
Peter Andreas Albinsson	02230	Licensed Director	Active	24 Jul 2024	
Dariusz Hubert Glinski	00151	Compliance Officer and MLRO	Active	24 Jul 2024	
ROBERT HOLT	02602	Senior Manager	Active	17 Feb 2025	
Jean Francois Fiammingo	02930	Senior Manager	Active	09 Jun 2025	
Dariusz Hubert Glinski	00151	Senior Manager	Active	24 Jul 2025	
Jean Francois Fiammingo	02930	Senior Executive Officer(SEO)	Active	14 Aug 2025	
Patrick Zbinden	03146	Licensed Director	Active	11 Sep 2025	
Clement Gless	03266	Senior Manager	Active	27 Oct 2025	