

# Bank Lombard Odier & Co. Limited

## Firm Details

|                     |   |
|---------------------|---|
| <b>Firm Name</b>    | Bank Lombard Odier & Co. Limited  |
| <b>FSP Number</b>   | 180046  |
| <b>FSP Date</b>     | 10 April 2019   |
| <b>Firm Type</b>    | Financial Firms   |
| <b>Address</b>      | United Arab Emirates, Abu Dhabi, Maryah Island, Office number 7, Floor 8, Al Khatem Tower, Abu Dhabi Global Market Square |
| <b>Email</b>        | <a href="mailto:c.lalandre@lombardodier.com">c.lalandre@lombardodier.com</a>  |
| <b>Phone</b>        | +971 22013100   |
| <b>Legal Status</b> | Branch of a Foreign Company   |
| <b>Status</b>       | Active  |

## Regulated Activities

| Type                              | Asset Type       | Effective Date | Withdrawn Date |
|-----------------------------------|------------------|----------------|----------------|
| Arranging Credit                  | Credit Agreement | 10 Apr 2019    |                |
| Arranging Deals in Investments    |                  | 10 Apr 2019    |                |
| Advising on Investments or Credit |                  | 10 Apr 2019    |                |

## Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Bank Lombard Odier & Co. Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

## Approved Persons

| <b>Name</b>                       | <b>Person Reference Number</b> | <b>Role</b>                       | <b>Status</b> | <b>Effective Start Date</b> | <b>Withdrawn date</b> |
|-----------------------------------|--------------------------------|-----------------------------------|---------------|-----------------------------|-----------------------|
| David<br>Alexandre<br>Molleyres   | 00260                          | Finance Officer                   | Active        | 10 Apr 2019                 |                       |
| Christophe<br>Jacques<br>Lalandre | 00259                          | Senior Executive<br>Officer(SEO)  | Active        | 10 Apr 2019                 |                       |
| Zarenah<br>Bessifi                | 01407                          | Compliance<br>Officer and<br>MLRO | Active        | 03 Jul 2023                 |                       |