

Balmoral Partners Investment Management Ltd

Firm Details

Firm Name	Balmoral Partners Investment Management Ltd
FSP Number	240019
FSP Date	30 August 2024
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Reem Island, 1005, 10, Addax Port Office Tower, Tamouh
Legal Status	Private Company Limited By Shares
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Deals in Investments	Units in a Collective Investment Fund	30 Aug 2024	
Managing a Collective Investment Fund	Units in a Collective Investment Fund	30 Aug 2024	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Balmoral Partners Investment Management Ltd is not permitted to hold Client Assets

- Balmoral Partners Investment Management Ltd is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
David Andrew Fallon	01657	Senior Executive Officer(SEO)	Active	17 Jan 2024	
Elia Alfay	01735	Licensed Director	Active	06 Feb 2024	
Rowena Atayan Sabado	01607	Finance Officer	Active	14 Feb 2024	
Sana Zafar	02366	Compliance Officer and MLRO	Active	30 Aug 2024	
David Andrew Fallon	01657	Licensed Director	Active	30 Aug 2024	
Ronald Craig Roberts	00099	Licensed Director	Active	30 Aug 2024	