

AXA Investment Managers Middle East Limited

Firm Details

Firm Name	AXA Investment Managers Middle East Limited
FSP Number	240030
FSP Date	25 June 2024
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, 1125, 11th Floor, Al Maqam Tower, Abu Dhabi Global Market Square
Legal Status	ADGM Company (a private company limited by shares)
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Deals in Investments	Shares, Instruments creating or acknowledging indebtedness, Units in a Collective Investment Fund	25 Jun 2024	
Advising on Investments or Credit	Shares, Instruments creating or acknowledging indebtedness, Units in a Collective Investment Fund	25 Jun 2024	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- AXA Investment Managers Middle East Limited is not permitted to hold or control Client Assets

- AXA Investment Managers Middle East Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Doria Sihem Bouallag	01850	Compliance Officer	Active	25 Jun 2024	
Doria Sihem Bouallag	01850	MLRO	Active	25 Jun 2024	
Ammar Riyadh M Bukhamsin	01849	Licensed Director and SEO	Active	25 Jun 2024	
John ODriscoll	01848	Licensed Director	Active	25 Jun 2024	
Olivier Thoral	01847	Licensed Director	Active	25 Jun 2024	
Olivier Thoral	01847	Finance Officer	Withdrawn	25 Jun 2024	13 Jan 2025
Shezan Mukadam	02783	Finance Officer	Withdrawn	26 Mar 2025	01 Nov 2025
Geetanjali Vijay Kumar Sharma	03078	Finance Officer	Active	21 Nov 2025	