

AXA Investment Managers Middle East Limited

Firm Details

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| Firm Name | AXA Investment Managers Middle East Limited |
| FSP Number | 240030 |
| FSP Date | 25 June 2024 |
| Firm Type | Financial Firms |
| Address | United Arab Emirates, Abu Dhabi, Maryah Island, 1125, 11th Floor, Al Maqam Tower, Abu Dhabi Global Market Square |
| Legal Status | ADGM Company (a private company limited by shares) |
| Status | Active |

Regulated Activities

| Type | Asset Type | Effective Date | Withdrawn Date |
|-----------------------------------|---|----------------|----------------|
| Arranging Deals in Investments | Shares, Instruments creating or acknowledging indebtedness, Units in a Collective Investment Fund | 25 Jun 2024 | |
| Advising on Investments or Credit | Shares, Instruments creating or acknowledging indebtedness, Units in a Collective Investment Fund | 25 Jun 2024 | |

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- AXA Investment Managers Middle East Limited is not permitted to hold or control Client Assets

- AXA Investment Managers Middle East Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

| Name | Person Reference Number | Role | Status | Effective Start Date | Withdrawn date |
|-------------------------------|-------------------------|---------------------------|-----------|----------------------|----------------|
| Doria Sihem Bouallag | 01850 | Compliance Officer | Active | 25 Jun 2024 | |
| Doria Sihem Bouallag | 01850 | MLRO | Active | 25 Jun 2024 | |
| Ammar Riyadh M Bukhamsin | 01849 | Licensed Director and SEO | Active | 25 Jun 2024 | |
| John ODriscoll | 01848 | Licensed Director | Active | 25 Jun 2024 | |
| Olivier Thorat | 01847 | Licensed Director | Active | 25 Jun 2024 | |
| Olivier Thorat | 01847 | Finance Officer | Withdrawn | 25 Jun 2024 | 13 Jan 2025 |
| Shezan Mukadam | 02783 | Finance Officer | Withdrawn | 26 Mar 2025 | 01 Nov 2025 |
| Geetanjali Vijay Kumar Sharma | 03078 | Finance Officer | Active | 21 Nov 2025 | |