

# Ava Trade Middle East Limited

## Firm Details

<b>Firm Name</b>	Ava Trade Middle East Limited
<b>FSP Number</b>	190018
<b>FSP Date</b>	06 February 2020
<b>Firm Type</b>	Financial Firms
<b>Address</b>	United Arab Emirates, Abu Dhabi, Maryah Island, Cloud Suite 306-307, 11th Floor, Al Sarab Tower, Abu Dhabi Global Market Square
<b>Email</b>	<a href="mailto:f.abouras@avatrade.com">f.abouras@avatrade.com</a>
<b>Phone</b>	+971 544313777
<b>Legal Status</b>	Private Company Limited By Shares
<b>Status</b>	Active

## Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Dealing in Investments as Principal (Matched)		06 Feb 2020	
Arranging Deals in Investments		06 Feb 2020	

## Conditions / Limitations


In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- The ensuing additional conditions attached to AVA's grant of a Financial Services Permission letter dated 6 February 2020 concerning OTC Derivatives being offered to Retail Clients.
- Dealing in Investments as Principal is limited to acting only as a Matched Principal, as described in the Prudential - Investment, Insurance Intermediation and Banking Rules (PRU)

## Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Colm Padraig Early	00364	Finance Officer	Withdrawn	28 Feb 2019	28 Feb 2022
Damien Anthony O'Conner	00339	Licensed Director	Active	06 Feb 2020	
Daire Ferguson	00338	Licensed Director	Active	06 Feb 2020	
Reema Zakaria	00938	Compliance Officer and MLRO	Withdrawn	21 Jan 2021	12 Apr 2023
Fadi Wadih Abou Ras	00764	Licensed Director and SEO	Active	01 Sep 2021	
Fiachra McIntyre	01774	Finance Officer	Active	01 Mar 2022	
Arvishek Seetohul	01367	Compliance Officer and MLRO	Active	22 May 2023	

## Regulatory Actions

Date	Title	Category	PDF Link
09 Jun 2022	ADGM imposes financial penalty for breaching Common Reporting Standard Regulations requirements	CRS Penalty Notice	<a href="#"></a>