

AT Capital Markets Limited

Firm Details

Firm Name	AT Capital Markets Limited
FSP Number	170006
FSP Date	28 March 2018
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, Part of,, Floor 8, Al Maqam Tower, Adgm Square
Email	ryan.tsui@atfx.ae
Phone	+971 2 694 8531
Legal Status	Private Company Limited By Shares
Status	Withdrawn
Withdrawn Date	28 May 2020

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Dealing in Investments as Principal (not matched)		28 Mar 2018	28 May 2020
Arranging Deals in Investments		28 Mar 2018	28 May 2020

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- AT Capital Markets Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Weiqiang Zhang	00149	Finance Officer	Withdrawn	28 Mar 2018	28 May 2020
MAN CHUNG	00148	Senior Executive Officer(SEO)	Withdrawn	28 Mar 2018	28 May 2020
Hiu Keung Li	00150	Licensed Director	Withdrawn	28 Mar 2018	28 May 2020
Geng Tiecheng Simon	03207	Licensed Director	Withdrawn	28 Mar 2018	11 Apr 2018
MAN CHUNG	00148		Withdrawn	29 Apr 2018	28 May 2020

Regulatory Actions

Date	Title	Category	PDF Link
17 Dec 2019	ADGM imposes financial penalty and suspension for breaches of regulatory requirements	Final Notice	