

AT Capital Markets Limited

Firm Details

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| Firm Name | AT Capital Markets Limited |
| FSP Number | 170006 |
| FSP Date | 28 March 2018 |
| Firm Type | Financial Firms |
| Address | United Arab Emirates, Abu Dhabi, Maryah Island, Part of,, Floor 8, Al Maqam Tower, Adgm Square |
| Email | ryan.tsui@atfx.ae |
| Phone | +971 2 694 8531 |
| Legal Status | Private Company Limited By Shares |
| Status | Withdrawn |
| Withdrawn Date | 28 May 2020 |

Regulated Activities

| Type | Asset Type | Effective Date | Withdrawn Date |
|---|------------|----------------|----------------|
| Dealing in Investments as Principal (not matched) | | 28 Mar 2018 | 28 May 2020 |
| Arranging Deals in Investments | | 28 Mar 2018 | 28 May 2020 |

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- AT Capital Markets Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

| Name | Person Reference Number | Role | Status | Effective Start Date | Withdrawn date |
|---------------------|-------------------------|-------------------------------|-----------|----------------------|----------------|
| Geng Tiecheng Simon | 03207 | Licensed Director | Withdrawn | 28 Mar 2018 | 11 Apr 2018 |
| Hiu Keung Li | 00150 | Licensed Director | Withdrawn | 28 Mar 2018 | 28 May 2020 |
| Weiqiang Zhang | 00149 | Finance Officer | Withdrawn | 28 Mar 2018 | 28 May 2020 |
| MAN CHUNG | 00148 | Senior Executive Officer(SEO) | Withdrawn | 28 Mar 2018 | 28 May 2020 |
| MAN CHUNG | 00148 | | Withdrawn | 29 Apr 2018 | 28 May 2020 |

Regulatory Actions

| Date | Title | Category | PDF Link |
|-------------|---|--------------|-------------------|
| 17 Dec 2019 | ADGM imposes financial penalty and suspension for breaches of regulatory requirements | Final Notice | ↓ |