

# Aspen Digital Financial Limited

## Firm Details

Firm Name	Aspen Digital Financial Limited
FSP Number	240034
FSP Date	11 December 2024
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Reem Island, Office 2909 BC 5, Floor 29, Tamouh Tower, Tamouh
Legal Status	ADGM Company (a private company limited by shares)
Status	Active

## Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Managing a Collective Investment Fund	Virtual Assets, Units in a Collective Investment Fund	11 Dec 2024	
Advising on Investments or Credit	Virtual Assets, Shares, Units in a Collective Investment Fund	11 Dec 2024	
Providing Custody	Virtual Assets	11 Dec 2024	
Arranging Deals in Investments	Virtual Assets, Shares, Units in a Collective Investment Fund	11 Dec 2024	
Dealing in	Virtual Assets	11 Dec 2024	

Investments as Principal (Matched)			
Managing Assets	Virtual Assets, Shares, Government and public Financial Instruments, Units in a Collective Investment Fund, Futures	11 Dec 2024	

## Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Aspen Digital Financial Limited must seek approval from the FSRA ahead of any changes to its business model and product offering.
- Dealing in Investments as Principal is limited to acting only as a Matched Principal, as described in the Prudential - Investment, Insurance Intermediation and Banking Rules (PRU)
- Aspen Digital Financial Limited will solely be permitted to offer trading of the Accepted Virtual Assets approved in by the FSRA

## Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Elliot James Andrews	01741	Licensed Director	Active	16 Feb 2024	
Elliot James Andrews	01741	Senior Executive Officer(SEO)	Active	16 Feb 2024	
Tsz Kuen Wong	02504	Senior Manager	Active	11 Dec 2024	
Yan Ting	02503	Senior Manager	Active	11 Dec 2024	

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Duan Shu	02502	Senior Manager	Active	11 Dec 2024	
Alexander Maron	02501	Senior Manager	Active	11 Dec 2024	
Kenneth Kai Shun Wong	02500	Finance Officer	Active	11 Dec 2024	
Sadar Durrani	02499	Licensed Director	Active	11 Dec 2024	
Jonathan Bond	02498	Licensed Director	Active	11 Dec 2024	
Mohammed Klai	01327	Compliance Officer and MLRO	Withdrawn	11 Dec 2024	28 Dec 2024
Mitchell Waters	02786	Senior Manager	Active	02 Apr 2025	
Mohammed Klai	01327	Compliance Officer and MLRO	Active	17 Jul 2025	
Mohammed Klai	01327	Senior Manager	Active	17 Jul 2025	