

Appian Capital Advisory Limited

Firm Details

Firm Name	Appian Capital Advisory Limited
FSP Number	250020
FSP Date	09 May 2025
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, 3511.D001, 3511.D002, 3511.D003, Level 35, Al Maqam Tower, Abu Dhabi Global Market Square
Legal Status	ADGM Company (a private company limited by shares)
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Arranging Credit	Credit Agreement	09 May 2025	
Advising on Investments or Credit	Shares, Instruments creating or acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Credit Agreement, Rights to or interests in Investments	09 May 2025	
Arranging Deals in	Shares, Instruments creating or	09 May 2025	

Investments	acknowledging indebtedness, Government and public Financial Instruments, Instruments giving entitlements to investments, Certificates representing certain Financial Instruments, Units in a Collective Investment Fund, Options, Futures, Credit Agreement, Rights to or interests in Investments		
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Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- APPIAN CAPITAL ADVISORY LIMITED is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- APPIAN CAPITAL ADVISORY LIMITED is not permitted to hold or control Client Assets

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Graeme Dell	02853	Licensed Director and SEO	Active	09 May 2025	
Fidelis Wabwire Wangata	02758	Compliance Officer and MLRO	Withdrawn	09 May 2025	27 Feb 2026
Michael Winston Scherb	02052	Licensed Director	Active	09 May 2025	
Guillermo Gustavo	02050	Licensed Director	Active	09 May 2025	

Kaelin					
Leonie Christiane Kerswill	02049	Licensed Director	Active	09 May 2025	
Mariam Siddiqui	01431	Finance Officer	Active	09 May 2025	