

Apex Fund Services (AD) Limited

Firm Details

Firm Name	Apex Fund Services (AD) Limited
FSP Number	170004
FSP Date	10 August 2017
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, Office 801, floor 8, Al Maqam Tower, Abu Dhabi Global Market Square
Email	sonja.suessenbach@apexgroup.com
Phone	+971 26726327
Legal Status	Private Company Limited By Shares
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Acting as the Administrator of a Collective Investment Fund	Units in a Collective Investment Fund	10 Aug 2017	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Apex Fund Services (AD) Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- Apex Fund Services (AD) Limited is not permitted to hold or control Client Assets

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Peter Burroughes Hughes	02693	Licensed Director	Withdrawn	10 Aug 2017	19 Nov 2017
Ronald Craig Roberts	00099	Licensed Director	Withdrawn	29 Nov 2017	31 May 2023
Naveed Zamir Yasir	00960	Licensed Director	Active	03 Jun 2022	
Koshy Oomen	00959	Licensed Director	Withdrawn	03 Jun 2022	13 Nov 2024
Bhaskar Dasgupta	00997	Licensed Director	Active	05 Oct 2022	
Edward Arun	01227	Compliance Officer and MLRO	Withdrawn	29 Nov 2022	10 May 2024
Niall Miles Pritchard	01236	Licensed Director and SEO	Withdrawn	09 Dec 2022	23 Apr 2024
Christopher Edward Hewson	01406	Finance Officer	Withdrawn	18 Jul 2023	15 Aug 2025

Abia Malickal	01922	Senior Executive Officer(SEO)	Withdrawn	26 Jun 2024	30 Aug 2024
John Bennett	01586	Compliance Officer and MLRO	Withdrawn	02 Aug 2024	25 Nov 2024
Sonja Suessenbach	02367	Licensed Director and SEO	Active	30 Aug 2024	
Christine Pretorius	02918	Compliance Officer	Active	03 Jun 2025	
Christine Pretorius	02918	MLRO	Active	03 Jun 2025	
Christine Pretorius	02918	Senior Manager	Active	03 Jun 2025	
Petrus Johannes Le Roux	03101	Finance Officer	Active	21 Aug 2025	