

AM Wealth Limited

Firm Details

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| Firm Name | AM Wealth Limited |
| FSP Number | 220135 |
| FSP Date | 30 August 2023 |
| Firm Type | Financial Firms |
| Address | United Arab Emirates, Abu Dhabi, Maryah Island, Unit No. ALS-8-04, 8th Floor, Al Sila Tower, Abu Dhabi Global Market Square |
| Legal Status | ADGM Company (a private company limited by shares) |
| Status | Active |

Regulated Activities

| Type | Asset Type | Effective Date | Withdrawn Date |
|---|------------|----------------|----------------|
| Arranging Deals in Investments | | 15 Jan 2025 | |
| Advising on Investments or Credit | | 15 Jan 2025 | |
| Dealing in Investments as Principal (Matched) | | 30 Aug 2023 | |
| Providing Custody | | 30 Aug 2023 | |

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|---------------------------------|--|-------------|--|
| Dealing in Investments as Agent | | 30 Aug 2023 | |
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Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- AM Wealth Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)

Approved Persons

| Name | Person Reference Number | Role | Status | Effective Start Date | Withdrawn date |
|---|-------------------------|-----------------------------|-----------|----------------------|----------------|
| Svetlana Osipova | 01430 | Senior Manager | Withdrawn | 30 Aug 2023 | 04 Mar 2024 |
| Balakrishnan Narayanaswamy Collimuttoni | 01429 | Finance Officer | Active | 30 Aug 2023 | |
| Andrei Babian | 01428 | Licensed Director | Withdrawn | 30 Aug 2023 | 04 Mar 2024 |
| Nazem Al Kudsi | 01427 | Licensed Director | Withdrawn | 30 Aug 2023 | 31 May 2024 |
| Maria Vasilyeva | 01426 | Licensed Director and SEO | Active | 30 Aug 2023 | |
| Joyal Valan Arasu Antony Pitchai | 00790 | Compliance Officer and MLRO | Active | 30 Aug 2023 | |

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|-------------------------|-------|----------------------|--------|-------------|--|
| Svetlana Osipova | 01430 | Licensed Director | Active | 04 Mar 2024 | |
| Narayanan Sambasivan | 01806 | Licensed Director | Active | 14 Mar 2024 | |