

Alpen Capital (ME) Limited

Firm Details

Firm Name	Alpen Capital (ME) Limited
FSP Number	160013
FSP Date	19 October 2016
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, DD-16-121-030, 16th Floor, Al Khatem Tower, Adgm Square
Email	t.lakshmanan@alpencapital.com
Legal Status	Branch of a Foreign Company
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Advising on Investments or Credit		19 Oct 2016	
Arranging Credit	Credit Agreement	19 Oct 2016	
Arranging Deals in Investments		19 Oct 2016	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- Alpen Capital (ME) Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- Alpen Capital (ME) Limited is not permitted to hold or control Client Assets

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Rohit Walia	00030	Licensed Director	Active	19 Oct 2016	
Rohit Walia	00030	Responsible Officer	Active	19 Oct 2016	
Ranitha Aldrin	00028	Compliance Officer and MLRO	Active	05 Oct 2017	
Binu Nair	00029	Finance Officer	Active	08 Aug 2018	
Houssam Majari	00542	Senior Executive Officer(SEO)	Withdrawn	06 Jan 2021	13 Jan 2025
Rohit Walia	00030	Senior Executive Officer(SEO)	Active	24 Jan 2025	