

# AD Investment Management Limited

## Firm Details

|                       |  |
|-----------------------|--|
| <b>Firm Name</b>      | AD Investment Management Limited   |
| <b>FSP Number</b>     | 180039   |
| <b>FSP Date</b>       | 12 February 2019   |
| <b>Firm Type</b>      | Financial Firms  |
| <b>Address</b>        | United Arab Emirates, Abu Dhabi, Reem Island, 2403, 24, Tamouh Tower, Tamouh |
| <b>Email</b>          | <a href="mailto:y.abdeen@adim.ae">y.abdeen@adim.ae</a>                       |
| <b>Phone</b>          | +971 26926239  |
| <b>Legal Status</b>   | Private Company Limited By Shares  |
| <b>Status</b>         | Withdrawn  |
| <b>Withdrawn Date</b> | 18 December 2024   |

## Regulated Activities

| Type                                  | Asset Type                            | Effective Date | Withdrawn Date |
|---------------------------------------|---------------------------------------|----------------|----------------|
| Advising on Investments or Credit     |                                       | 24 Oct 2021    | 18 Dec 2024    |
| Managing a Collective Investment Fund | Units in a Collective Investment Fund | 12 Feb 2019    | 18 Dec 2024    |

|                 |  |             |             |
|-----------------|--|-------------|-------------|
| Managing Assets |  | 12 Feb 2019 | 18 Dec 2024 |
|-----------------|--|-------------|-------------|

## Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- AD Investment Management Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- AD Investment Management Limited is not permitted to hold or control Client Assets

## Approved Persons

| Name                                      | Person Reference Number | Role                      | Status    | Effective Start Date | Withdrawn date |
|---|-------------------------|---------------------------|-----------|----------------------|----------------|
| Yazan Mustafa Abdeen                      | 00201                   | Licensed Director and SEO | Withdrawn | 12 Feb 2019          | 19 Jun 2023    |
| Carlos Roberto Sagra Modiano              | 00146                   | Licensed Director         | Withdrawn | 12 Feb 2019          | 18 Dec 2024    |
| Georges Joseph Hanna                      | 00238                   | Senior Manager            | Withdrawn | 01 Apr 2019          | 18 Dec 2024    |
| Ahmed Mohamed Abduljalil Mohamed Al Fahim | 00622                   | Licensed Director         | Withdrawn | 04 Apr 2021          | 19 Jun 2023    |
| David Emmanuel Marie Bruno Beau           | 00675                   | Licensed Director         | Withdrawn | 14 Jun 2021          | 01 Jun 2023    |
| Danish Durrani                            | 00828                   | Finance                   | Withdrawn | 01 Jan 2022          | 18 Dec 2024    |

|                      |       | Officer                     |           |             |             |
|----------------------|-------|-----------------------------|-----------|-------------|-------------|
| Leah Danielle Penton | 01302 | Compliance Officer and MLRO | Withdrawn | 15 Mar 2023 | 18 Dec 2024 |
| Sachin Mohindra      | 00294 | Licensed Director and SEO   | Withdrawn | 12 Jul 2023 | 18 Dec 2024 |