

9Unicorns Global Capital Management Limited

Firm Details

Firm Name	9Unicorns Global Capital Management Limited
FSP Number	240007
FSP Date	15 July 2024
Firm Type	Financial Firms
Address	United Arab Emirates, Abu Dhabi, Maryah Island, 1127Register12, 11, Al Maqam Tower, Regus ADGM Square, Abu Dhabi Global Market Square
Legal Status	ADGM Company (a private company limited by shares)
Status	Active

Regulated Activities

Type	Asset Type	Effective Date	Withdrawn Date
Managing a Collective Investment Fund	Units in a Collective Investment Fund	15 Jul 2024	
Advising on Investments or Credit		15 Jul 2024	
Arranging Deals in Investments		15 Jul 2024	

Conditions / Limitations

In accordance with section 30(4)(a) of FSMR, the following limitations and stipulations apply to all Regulated Activities, unless specified otherwise:

- 9Unicorns Global Capital Management Limited is not permitted to deal with Retail Clients, as defined in the Conduct of Business Rulebook (COBS)
- 9Unicorns Global Capital Management Limited is not permitted to hold Client Assets
- Arranging Deals in Investments is restricted to co-investments associated with a Venture Capital Fund, as defined in FUNDS and managed by 9Unicorns Global Capital Management Limited
- Advising on Investments or Credit is restricted to co-investments in assets in which a Venture Capital Fund managed by Authorised Person has invested or will invest

Approved Persons

Name	Person Reference Number	Role	Status	Effective Start Date	Withdrawn date
Aroosa Muhammad Amin	02159	Compliance Officer and MLRO	Withdrawn	15 Jul 2024	24 Jan 2025
Apoorv Ranjan Sharma	02158	Licensed Director	Active	15 Jul 2024	
Manoj Uttamrao Ahire	02157	Licensed Director and SEO	Active	15 Jul 2024	
Monica Raj Kumar	01482	Senior Manager	Active	13 Mar 2025	
Monica Raj Kumar	01482	MLRO	Active	13 Mar 2025	
Monica Raj Kumar	01482	Compliance Officer	Active	13 Mar 2025	